

S-E-C-R-E-T

WPS 196.10

16 AUG 1962

MEMORANDUM FOR: See Distribution

SUBJECT: Security Appendix to CIA Global War Plan
for Clandestine Operations

1. Attached is a draft of a revised version of the Security Appendix
to Annex C to the CIA Global War Plan for Clandestine Operations.

2. Your concurrence or comments are requested by 7 September 1962.

Telephonic reply is acceptable (Extension 6669, [] []).

[]

Chief, War Plans Staff

1 Attachment:
Draft Security Appendix

Distribution:

- 2 - Asst. to DD/I (Planning)
- 1 - DD/R
- 10 - SPA/DDS
- 1 - Asst. for Coordination
- 1 - C/CI
- 1 - C/CA
- 1 - C/FI
- 1 - CCS
- 1 - C/QPSE
- 1 - RID
- 1 - WPS/ARA
- 1 - C/AF
- 1 - C/EE
- 1 - C/FE
- 1 - C/NE
- ✓ 1 - C/SR
- 1 - C/WE
- 1 - C/WH
- 1 - SO
- 1 - DODS
- 1 - TSD

DECLASSIFIED AND RELEASED BY
CENTRAL INTELLIGENCE AGENCY
SOURCES METHOD EXEMPTION 3B2B
NAZI WAR CRIMES DISCLOSURE ACT
DATE 2007

S-E-C-R-E-T

GROUP 1
Excluded from automatic
downgrading and
declassification

CIA GLOBAL WAR PLAN FOR CLANDESTINE OPERATIONS

APPENDIX 5 TO ANNEX C

SECURITY

1. GENERAL.

a. Purpose: The purpose of this Appendix is to define the major missions of security support and to establish the general security policies, concepts, responsibilities, and tasks which will guide detailed security planning in support of CIA operations during general war, limited war, contingency situations, national disasters, political coups, and civil disturbances (including evacuation and redeployment).

b. Definitions:

(1) Security Clearance or Security Approval - An official authorization required for Agency employment, utilization or liaison. Considerations for granting provisional staff security clearances, provisional covert security approvals, provisional proprietary approvals, and provisional covert security clearances will include: acceptable review of available records (including such investigation as possible and feasible), acceptable interview by the Security Staff, and acceptable polygraph report.

(2) CIA Emergency Security Patrol - A flexible Security Force, composed of a staff headed by the Director of Security as Commanding Officer and four platoons organized on a geographic basis in relation to the location of Agency facilities in the Washington area. Regular members of the Patrol are Office of Security personnel.

(3) Emergency Security Team - An emergency operational unit of professional security officers, strength approximately 5 to 10, possessing multiple security skills fully trained, documented, and available for dispatch to overseas emergency areas to augment the field security effort.

S-E-C-R-E-T

(4) CIA Force Security Officer - The Senior Security Officer assigned to an overseas CIA Force by the Director of Security with the approval of the CIA Force Commander.

(5) Operational Security Support - Those security support activities designed to support all CIA components from a security standpoint.

(6) Physical Security - The protection of the human and physical assets of CIA.

(7) Special Inquiry - That type of investigation or inquiry for informational purposes which is not specifically designed to support a security clearance or security approval.

(8) Technical Security (Audio Countermeasures) - The protection of CIA personnel and classified installations against hostile technical surveillance.

(9) Technical Interrogation (Polygraph) - The process of obtaining information through polygraph and oral interrogation to assist in the determination of the security acceptability of persons employed by or associated with CIA.

2. MISSION. The general Security mission, under conditions listed in paragraph 1a above, is to provide security advice, guidance, and support to all echelons of CIA for the protection of CIA interests, personnel, classified matter, installations and activities for the successful accomplishment of the CIA mission.

3. CONCEPT OF OPERATIONS.

a. General Statement: Security policy doctrine, concepts, decisions, and the implementation of security support must be in consonance with and support both overt and covert objectives of CIA. The general concept of security support, including preparatory planning and implementation, is in principle the same for all overseas commands; however, each command should, within acceptable security standards, tailor its security support to conditions of the command. Security criteria and standards will be in consonance with the standards established by the U.S. Theater Commander; however, more stringent security criteria, procedures and methods will be imposed as necessary. As a general principle, all security

S-E-C-R-E-T

functions and support will be performed in conformity with technical doctrine established by the Director of Security.

b. Nonactive Areas: Security support in nonactive areas during general war or contingency situations will generally follow peacetime procedures; however, all security measures should be intensified to ensure against penetration.

c. Active Theaters of War: Security support activities in active theaters of war and for contingency situations will be in accordance with the provisions herein, appropriate CIA Regulations and the Office of Security War and Contingency Support Plan and Guide.

(1) General War: Security is a responsibility of command and a function of support; however, because of the highly technical aspects of security clearances and security approvals, investigations, polygraph and audio countermeasures, security authority and responsibility will be delegated by the Director of Security as follows: The CIA Force Commander will exercise the responsibility for security through the CIA Force Security Officer assigned to his Staff. The Director of Security will delegate authority to the CIA Force Security Officer on matters of security clearances and security approvals, investigations, technical interrogation (polygraph) and technical security (audio countermeasures). The CIA Force Security Officer may at his discretion delegate this security authority to Security Officers assigned to subordinate commands, but will exercise careful control and supervision in such cases. The CIA Force Security Officer will in all cases be appointed by the Director of Security with the concurrence of the CIA Force Commander, and will have direct access to the Commander. Therefore, in accordance with the above principle and policy, the CIA Force Security Officer will exercise, on behalf of the CIA Force Commander, the following security functions:

(a) The granting of provisional staff security clearances, provisional covert security approvals, provisional proprietary security approvals, and provisional covert security clearances for personnel acquired in the field.

S-E-C-R-E-T

(b) The granting of provisional covert security approvals for the use of support personnel, i. e., mechanics, guards, household servants, mess personnel, drivers, etc., procured in the field.

(NOTE: The Office of Security will be furnished personal history data and supporting security data for the granting of each provisional security clearance or provisional security approval indicated in (a) and (b), above.)

(c) The coordination and handling of security investigations of Agency employees, prospective employees, and for all other purposes.

(d) The coordination and handling of all matters pertaining to technical interrogation (polygraph). For general war, polygraph operations will be assigned to a pool at Theater or Area Headquarters for theater use under control of the CIA Force Security Officer.

(e) The coordination and handling of all matters pertaining to technical security (audio countermeasures). For general war, audio countermeasures personnel will be assigned to a pool at theater or area headquarters for theater use under control of the CIA Force Security Officer.

(f) All other fields of security are the direct responsibility of the Commander concerned. To the extent practicable, this responsibility will be exercised through the Security Officer. Other Security support includes but is not limited to:

1. The formulation of other Security policies and procedures for the Command.

2. Personnel security: In addition to Security clearances, Security approvals and investigations, this includes preventing and detecting the penetrating of CIA personnel by foreign intelligence services, detecting deviations in the actions of employees which might lead to serious personnel problems and recommending appropriate action, etc.

S-E-C-R-E-T

3. Physical security: This includes control of classified information, storage of classified material, protection of Agency personnel and facilities, monitoring through inspections and investigations the compliance with Security policies and regulations, ensuring that classified matter will not be taken further forward in combat areas than absolutely necessary, destruction of classified material including emergency destruction facilities, physical security surveys as frequently as circumstances warrant, telephone security, security briefings, and taking advanced action during a crisis build-up stage on the protection of classified materials and, possibly, of certain staff personnel.

4. Safety and fire prevention.

5. Conducting liaison with other U. S. and foreign security forces on security matters.

6. Alien affairs.

7. Accreditation.

8. Operational security support in the secure accomplishment of the CIA mission.

9. Security support for redeployment.

10. Security support for evacuation.

11. Censorship.

12. Private foreign travel.

13. Outside activities

14. Others.

(2) Limited War and Contingency Situations:

(a) Basic security functions, methods, and procedures are generally applicable, except as otherwise specified, for all areas, assuming sufficient flexibility and tailoring to meet local conditions.

(b) A Senior Security Officer, along with other Security Officers as required, will be assigned upon request to the Limited War or Contingency

S-E-C-R-E-T

~~Commander during the development stage.~~ Additional personnel will be assigned as needed. This Security Officer will have delegated to him appropriately defined security authority and responsibilities for decision and control to be exercised during the contingency situation. He will be guided by the policy and technical advice of the Director of Security.

(c) The granting of staff security clearances, covert security approvals, proprietary security approvals and covert security clearances will rest with the Office of Security at Headquarters in accordance with Agency regulations. However, the Office of Security is prepared to expedite security clearance and security approval action to ensure timely use of required individuals. Contingency Force Security Officers will conduct necessary related investigations in the field on request from Headquarters.

(d) Provisional security approvals for the use of support personnel, i. e., mechanics, guards, household servants, mess personnel, etc., procured in the field may be provisionally approved by the Contingency Security Officer.

(e) The coordination and handling of security investigations of Agency employees, prospective employees, and for other purposes will be conducted by the Contingency Force Security Officer.

(f) Technical interrogation (polygraph) support will be furnished by personnel currently in the field and by special request to the Office of Security. Control of technical interrogation support will be exercised on behalf of the echelon commander by the Contingency Force Security Officer under the technical direction of the Director of Security.

(g) Technical security (audio countermeasures) support will be furnished by Headquarters on request. Control of technical security will be exercised on behalf of the echelon commander by the Contingency

S-E-C-R-E-T

Force Security Officer under the technical direction of the Director of Security.

(h) The Contingency Force Commander will be responsible for all other fields of security. (See paragraph 3c(1)(f), above.) To the extent practicable, this responsibility will be exercised through the Security Officer. Where circumstances require, a special staff will be activated within the Office of Security to furnish back-up security support for the Contingency Force.

4. TASKS.

a. Peace:

(1) CIA Force Security Officers-Designates, Area Security Officers-Designates and other Security Officers assigned to overseas stations will provide security guidance and assistance to their respective commanders in their preparation of emergency plans for situations listed in paragraph 1a, above.

(2) The Director of Security will:

(a) Provide security guidance and assistance to all CIA components, including overseas components.

(b) Provide on request Security Team of desired strength and capability for emergency assignments to augment the field effort.

(c) Provide training for those Security Officers who are expected to participate in emergency programs.

(d) Conduct a Polygraph Reserve Training Program to provide additional capability to supplement peacetime requirements. (The extent of this training program will be based on planned field requirements.)

(e) Maintain sufficient polygraph machine stockpile at Headquarters to support the wartime effort.

S-E-C-R-E-T

- (f) Maintain the CIA Emergency Security Patrol.
- (g) Develop Security Plans for Headquarters Relocation Sites.
- (3) Senior War Planners will:
 - (a) Plan security programs, to include but not limited to the provisions of paragraph 3c(1), for emergencies set forth in paragraph 1a, with the assistance of Security Officers assigned to overseas stations.
 - (b) Plan for the utilization of Security Teams in contingencies.
 - (c) Prepare redeployment and evacuation plans to include sensitive persons.
- b. General War:
 - (1) The Director of Security will:
 - (a) Provide security support to all Agency elements within the United States.
 - (b) Provide security guidance and assistance to meet field needs.
 - (c) Designate, with concurrence of the CIA Force Commander, CIA Force Security Officers and other Security Officers required for overseas commands.
 - (d) Take action on provisional security clearances/approvals as granted by Security Officers in the field.
 - (e) Maintain close liaison between security and counterintelligence elements to ensure immediate exchange of security and counterintelligence information.
 - (f) Maintain close liaison with the Security components of other U. S. departments and agencies on security matters and exchange of information related to the Security mission.
 - (2) The CIA Force Commander will:
 - (a) Provide for the security of his command which includes the protection of the human and physical assets and security support of operations.

S-E-C-R-E-T

(b) Assure that the Security Programs are in consonance with and support both the overt and covert objectives of CIA. As a general rule, such support will be in conformity with the technical doctrine established by the Director of Security.

(3) The CIA Force Security Officer, on behalf of the CIA Force Commander, will carry out the Security functions as listed in paragraph 3c(1), above.

c. Limited War and Contingency Situations:

(1) The Director of Security will:

(a) Assign to the CIA Force a Senior Security Officer and such other Security personnel as required.

(b) Grant security clearances and approvals.

(c) Provide technical interrogations (polygraph) support to the CIA Force upon request.

(d) Provide technical security support (audio countermeasures) to the CIA Force upon request.

(e) Provide other Security support required for the successful accomplishment of the CIA mission.

(2) The CIA Force Commander will:

(a) Provide for the security of his command which includes the protection of the human and physical assets and operational security support of operations.

(b) Assure that the Security Programs are in consonance with and support both the overt and covert objectives of CIA. As a general rule, such support will be in conformity with the technical doctrine established by the Director of Security.

(3) The CIA Contingency Security Officer, on behalf of the CIA Force Commander, will carry out the Security functions as indicated in paragraph 3c(2), above.

